



RECEIVED

MAY 31 2001

TECH CENTER 1600/2000

Form 1449*	Atty. Docket No.: 875.024US1	Serial No. 09/684,554
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)	Applicant: John F. Engelhardt et al.	
	Filing Date: October 6, 2000	Group: 1635

U. S. PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
W	5,691,176	11/25/1997	Lebkowski, J.S., et al.	435	172.3	06/02/95
↓	6,083,702	07/04/2000	Mitchell, L.G., et al.	435	6	08/13/98
↓	6,200,560	03/13/2001	Couto, L.B., et al.	424	93.2	12/22/99

FOREIGN PATENT DOCUMENTS

**Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation Yes   No
W	94/13788	12/04/1992	PCT	C12N	7/01	
↓	95/07351	09/10/1993	PCT	C12N	15/10	
↓	97/22250	12/13/1996	PCT	A01N	43/04	
↓	98/09657	09/06/1996	PCT	A61K	48/00	
↓	99/60146	05/20/1998	PCT	C12N	15/86	

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

W	Duan, D., et al., "Circular intermediates of recombinant adeno-associated virus having defined structural characteristics responsible for long-term episomal persistence in muscle tissue", <u>J. of Virology</u> , 72 (11), pp. 8568-8577, (Nov. 1998)
↓	Duan, D., et al., "Formation of adeno-associated virus circular genomes is differentially regulated by adenovirus E4 ORF6 and E2a gene expression", <u>J. Virology</u> , 73 (1), pp. 161-169, (Jan. 1999)
↓	Duan, D., et al., "Structural Analysis of adeno-associated virus transduction circular intermediates", <u>Virology</u> , 261 (1), pp. 8-14, (Aug. 1999)
↓	Duan, D., et al., "Structural and functional heterogeneity of integrated recombinant AAV genomes", <u>Virus Research</u> , 48 (1), pp. 41-56, (Jan. 1997)
↓	Fisher, K., et al., "Recombinant adeno-associated virus for muscle directed gene therapy", <u>Nature Medicine</u> , 3 (3), pp. 306-312, (March 1997)
↓	Giraud, C., et al., "Recombinant junctions formed by site-specific integration of adeno-associated virus into an episome", <u>J. of Virology</u> , 69 (11), pp. 6917-6924, (Nov. 1995)
↓	Herzog, R.W., et al., "Stable gene transfer and expression of human blood coagulation factor IX after intramuscular injection of recombinant adeno-associated virus", <u>PNAS</u> , 94, pp. 5804-5809, (May 1997)

Examiner

\*Substitute Disclosure Statement Form (PTO-1449)

Date Considered

3/4/03

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED

MAY 31 2001  
Sheet 2 of 2

Form 1449\*



Atty. Docket No.: 875.024US1

Serial No. 09/6854600/2900

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use several sheets if necessary)

Applicant: John F. Engelhardt et al.

Filing Date: October 6, 2000

Group: 1635

OTHER DOCUMENTS

(Including Author, Title, Date, Pertinent Pages, Etc.)

\*\*Examiner  
Initial

Wb	Puttaraju, M., et al., "Spliceosome-mediated RNA trans-splicing as a tool for gene therapy", <u>Nature Biotechnology</u> , 17 (3), pp. 246-252, (March 1999)
	Ramage, A.D., et al., "Improved EBV-based shuttle vector system: dicistronic mRNA couples the synthesis of the Epstein-Barr nuclear antigen-1 protein toneomycin resistance", <u>Gene</u> , 197 (102), pp. 83-89, (1997)
	Xiao, X., et al., "Efficient long-term gene transfer into muscle tissue of immunocompetent mice by adeno-associated virus vector", <u>J. of Virology</u> , 70 (11), pp. 8098-8108, (Nov. 1996)
	Yan, Z., et al., "Trans-splicing vectors expand the utility of adeno-associated virus for gene therapy", <u>PNAS</u> , 97 (12), pp. 6716-6721, (June 6, 2000)
D	Yang, J., et al., "Concatamerization of adeno-associated virus circular genomes occurs through intermolecular recombination", <u>J. of Virology</u> , 73 (11), pp. 9468-9477, (Nov. 1999)

Examiner

Date Considered

3/4/03

\*Substitute Disclosure Statement Form (PTO-1449)

\*\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.